

216  
PATENT

Case Docket No. DORFF.001A

Date: June 22, 2001

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Technology Center 2100

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Victor L. Dorff, et al.  
Appl. No. : 09/749,373  
Filed : December 22, 2000  
For : MULTIPLE LEVEL  
PHILANTHROPIC GIVING  
SYSTEM AND METHOD  
Examiner : Unknown  
Group Art Unit : 2161

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

June 22, 2001

(Date)

Louis J. Knobe, Reg. No. 18,780

## TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eight (8) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Louis J. Knobe  
Registration No. 18,780  
Attorney of Record

DORFF.001A



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

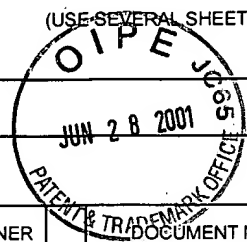
Dated: June 22, 2001

By: [Signature]

Louis J. Knobbe  
Registration No. 18,780  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(949) 760-0404

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. DORFF.001A	APPLICATION NO. 09/749,373
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Victor L. Dorff, et al.	
		FILING DATE December 22, 2000	GROUP 2161

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 Technology Center 2100



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1. 4,630,844	12/23/1986	Troy, et al.			
	2. 4,637,634	12/20/1987	Troy, et al.			
	3. 4,906,026	03/06/1990	Gordon, Jr., et al			
	4. 5,750,972	05/12/1998	Botvin			
	5. 5,798,508	08/25/1998	Walker, et al.			
	6. 5,801,365	09/01/1998	Katz			
	7. 6,012,740	01/11/2000	Lupi			
	8. 6,017,063	01/25/2000	Nilssen			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

H:\DOCS\LJK\LJK-4133.DOC\cap  
062001

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	